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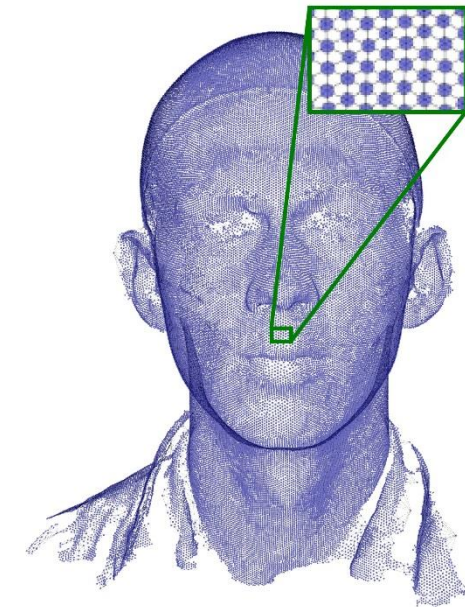


# Facial 3D Image Analysis in FASD

Harold Matthews, Anthony Penington, Jane Halliday,  
Evelyne Muggli, Elizabeth Elliott, Peter Claes

2<sup>nd</sup> Australasian FASD conference

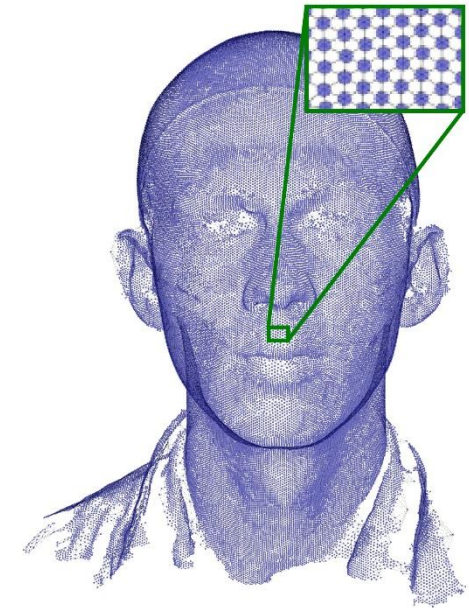
Pan Pacific Hotel 21-22 November 2018



# Outline

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- Who am I?
- Facial dysmorphism in FASD
- Measuring faces comprehensively with 3D image analysis.
- Modelling population differences and individual dysmorphism.
- Applications in FASD



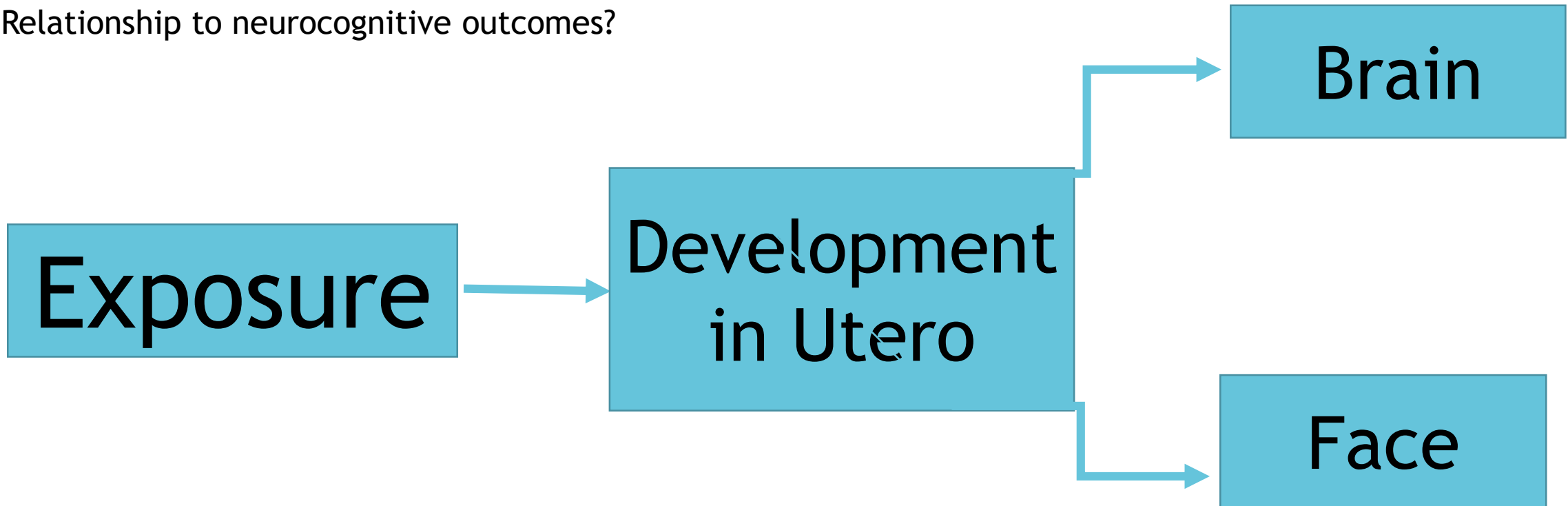
# Facial dysmorphism in FASD



# Facial dysmorphism in FASD

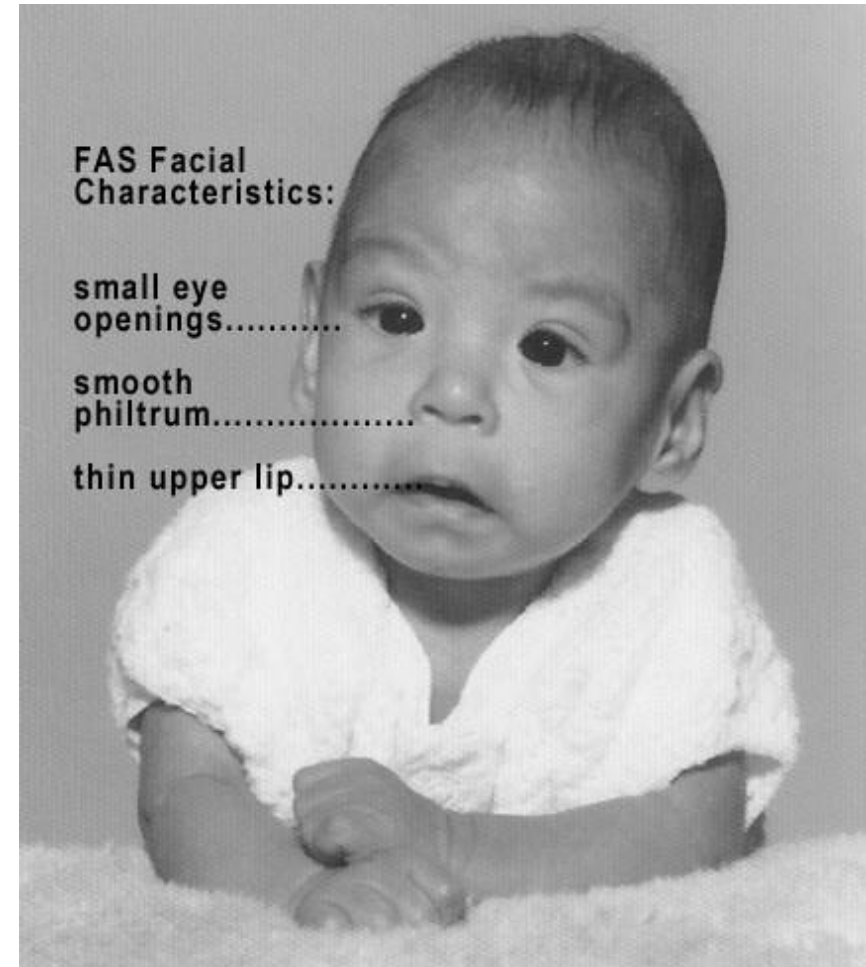
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- Marker for underlying developmental disruption.
- Proxy for neural development?
- Relationship to neurocognitive outcomes?



# Facial dysmorphism in FASD

- Sentinel features:
  - Short palpebral fissures
  - Thin upper lip vermillion
  - Smooth philtrum
- Reduced head circumference
- Hypoplastic midface
- Prognathism
- Epicanthal folds
- “Railroad track” ears
- ...

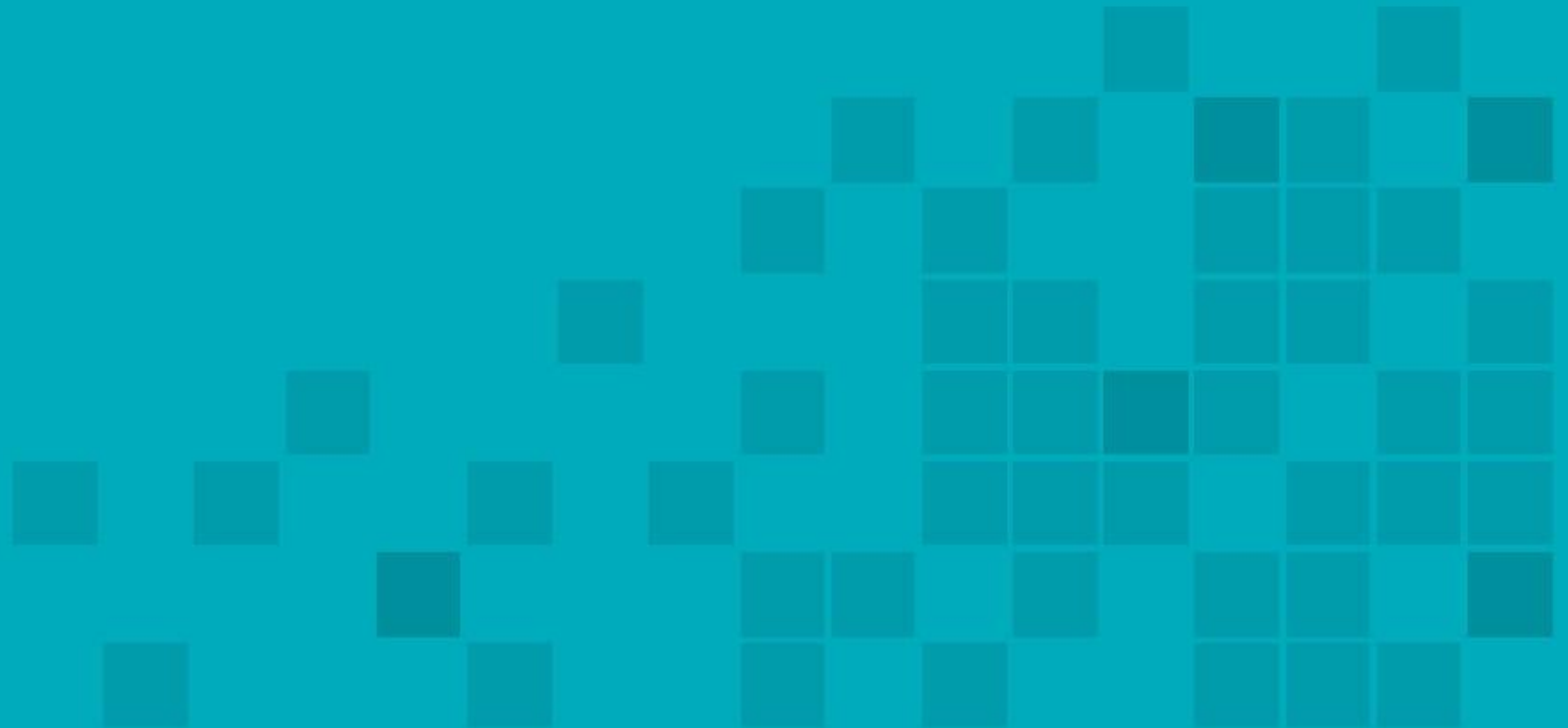


# What do I mean 'facial 3D image analysis'?

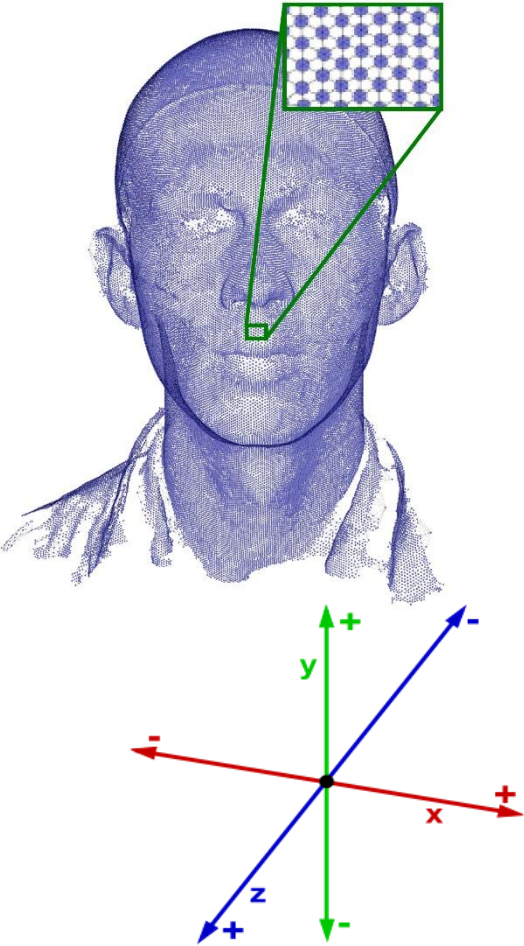
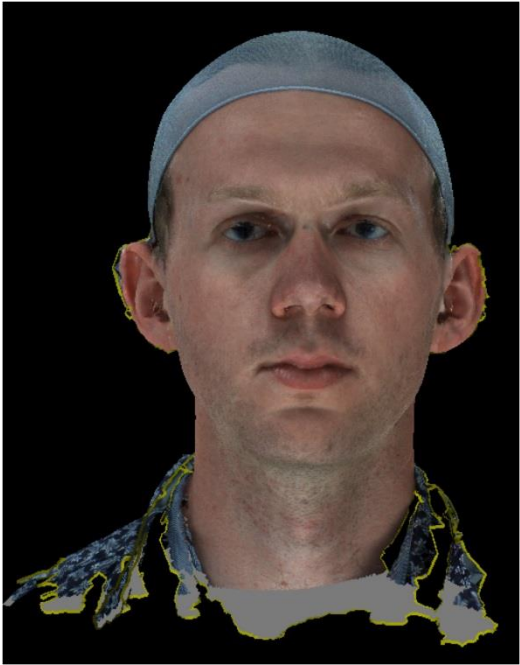
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- The deployment of image processing and multivariate statistics to:
  - Measure the facial gestalt objectively and holistically.
  - Examine differences between populations.
  - Assess individuals with respect a normal population.

# Measuring the face with 3D image analysis



# 3D photography





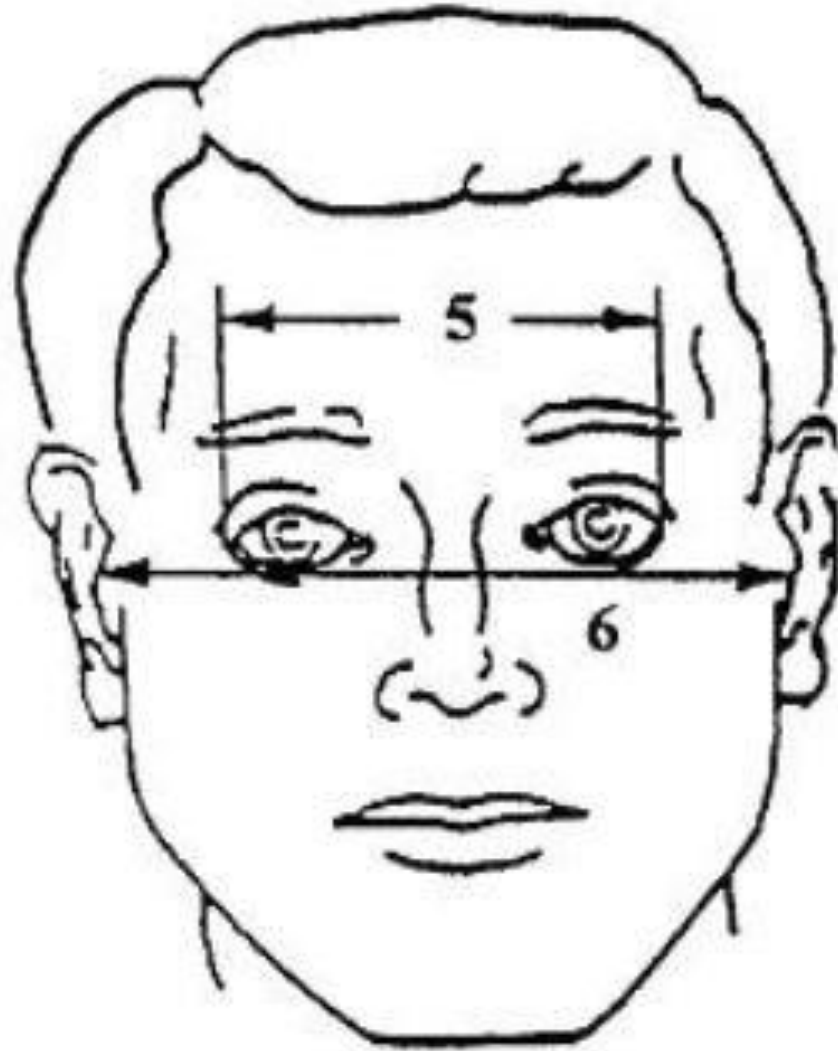
# Handheld systems

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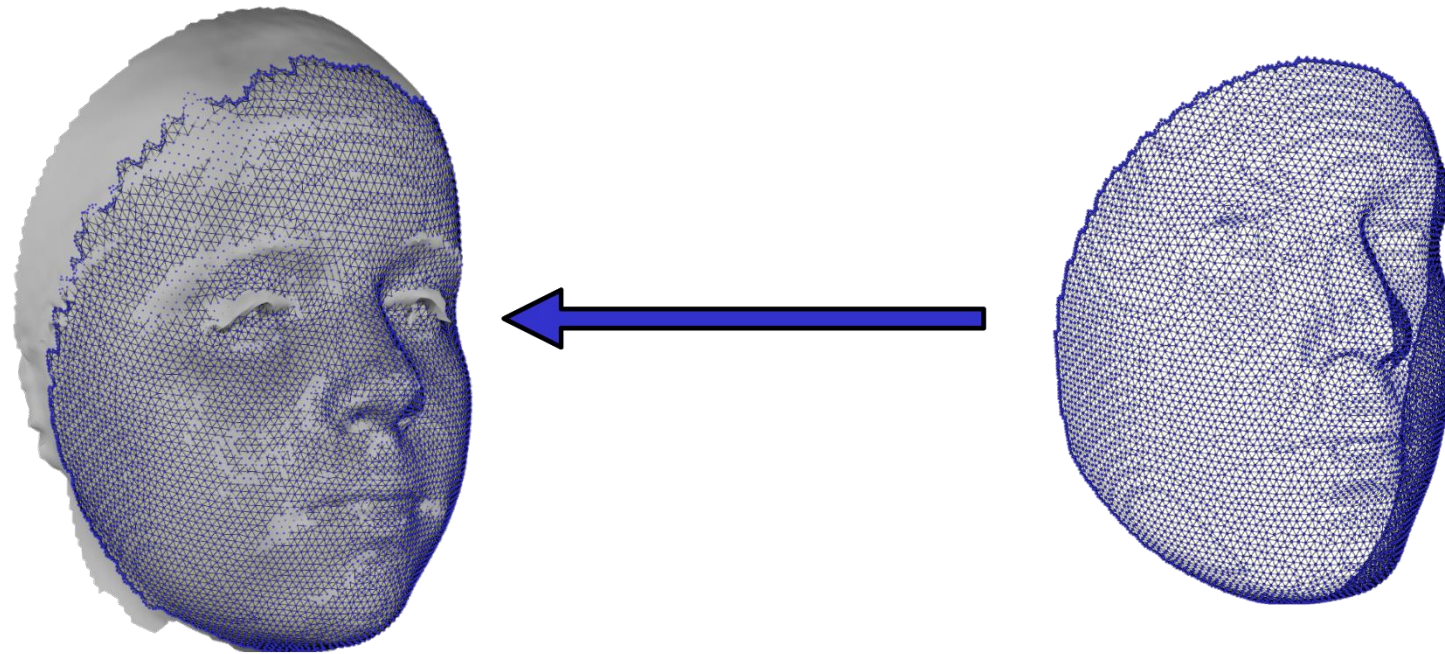
## Facial Measurement: The usual way.

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# Facial measurement: Spatially-dense image mapping

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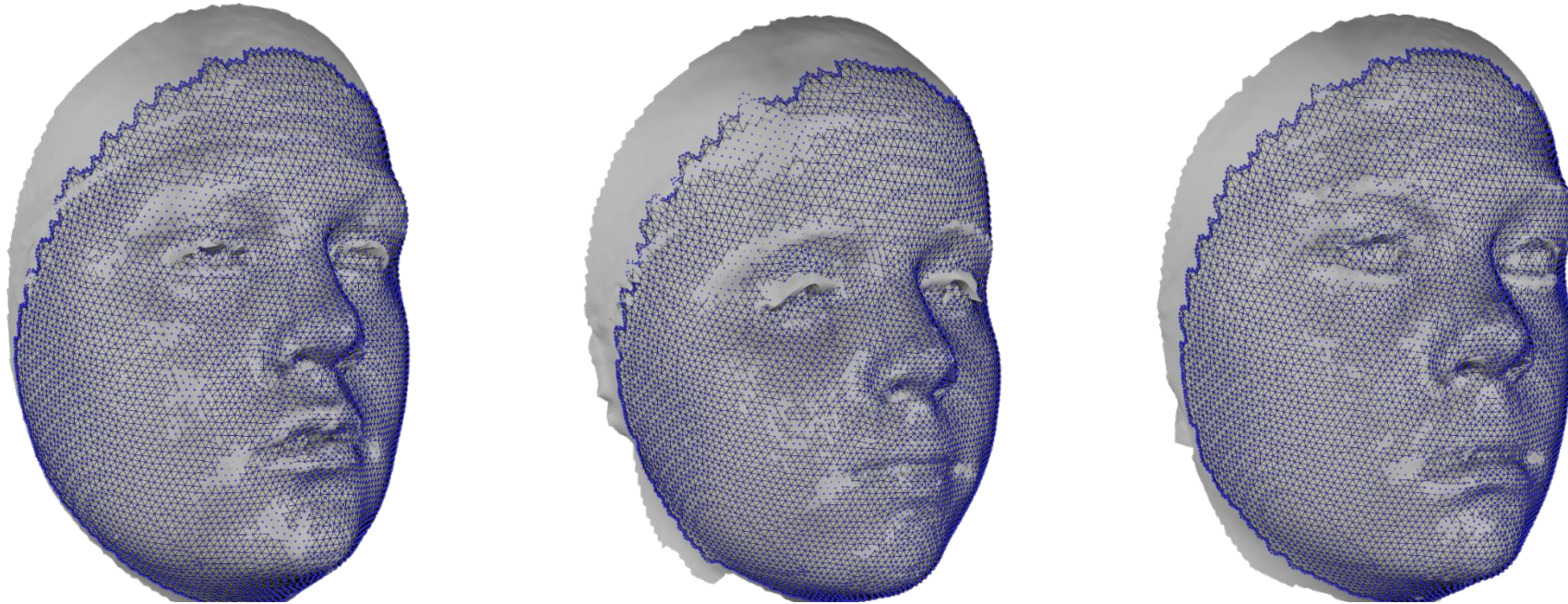
## Corresponding measurements: Spatially-dense image mapping

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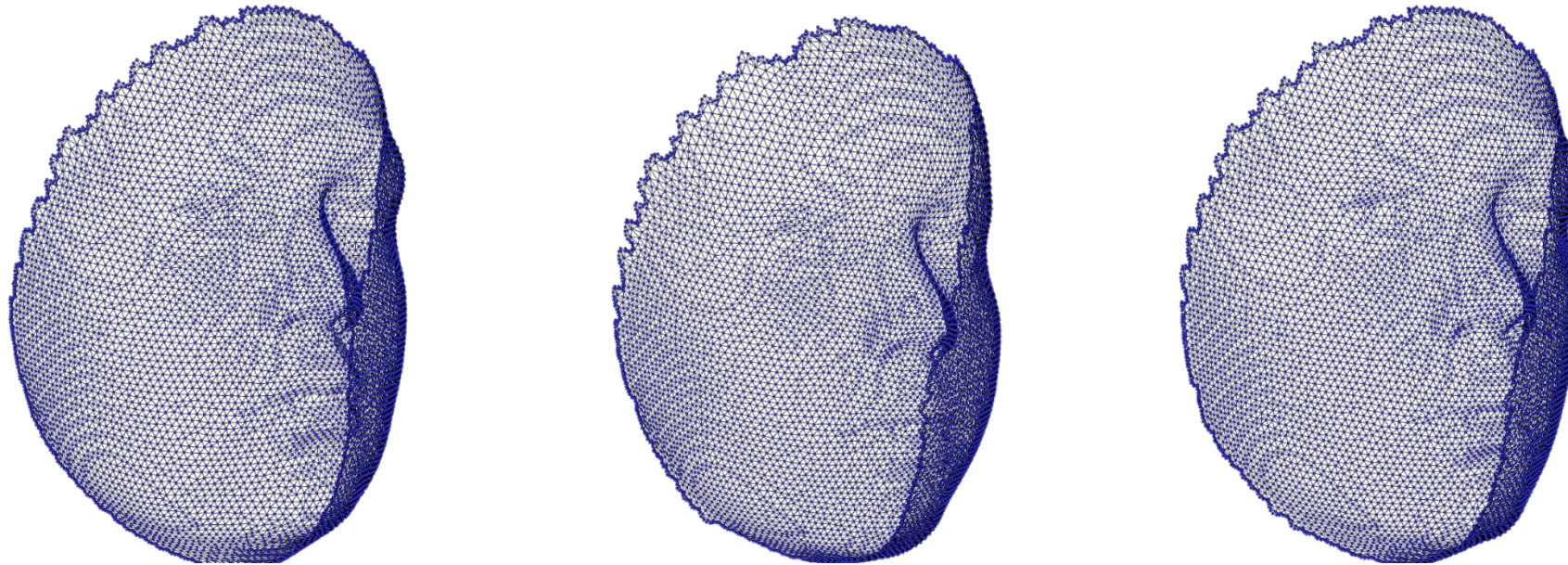
# Corresponding measurements: Spatially-dense image mapping

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# Corresponding measurements: Spatially-dense image mapping

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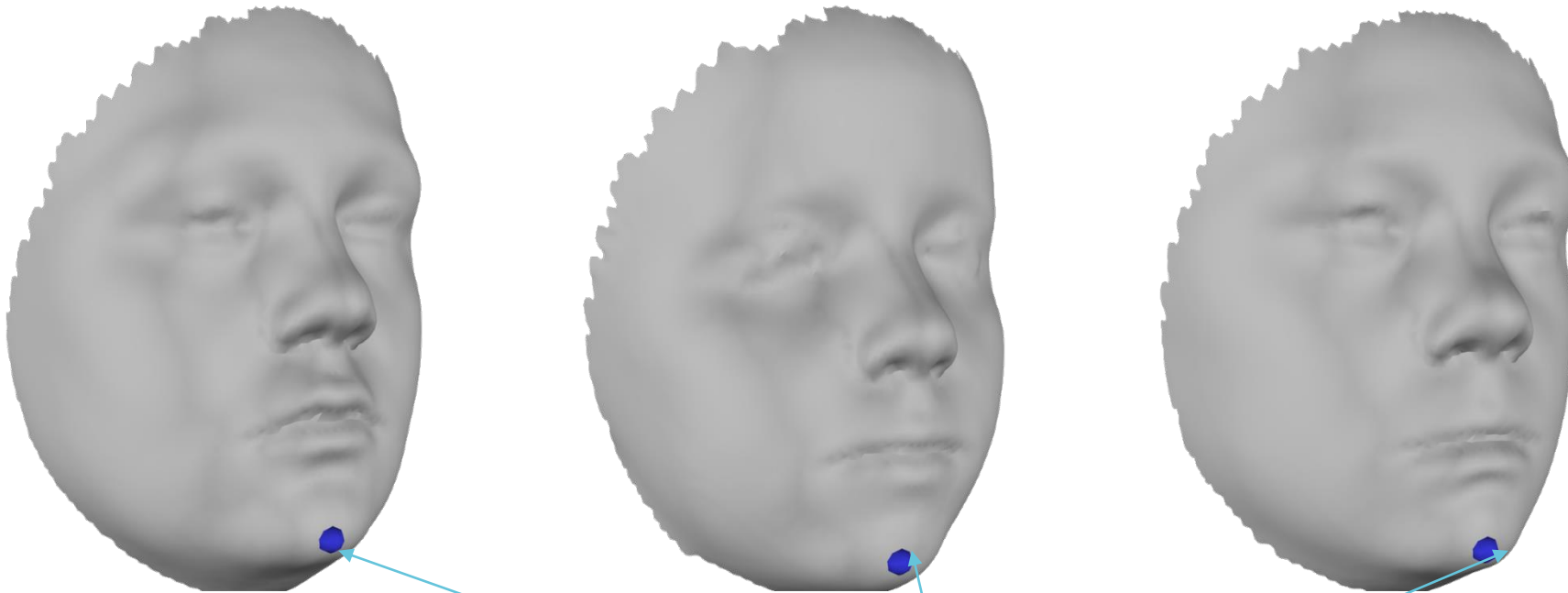
## Corresponding measurements: Spatially-dense image mapping

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# Corresponding measurements: Spatially-dense image mapping

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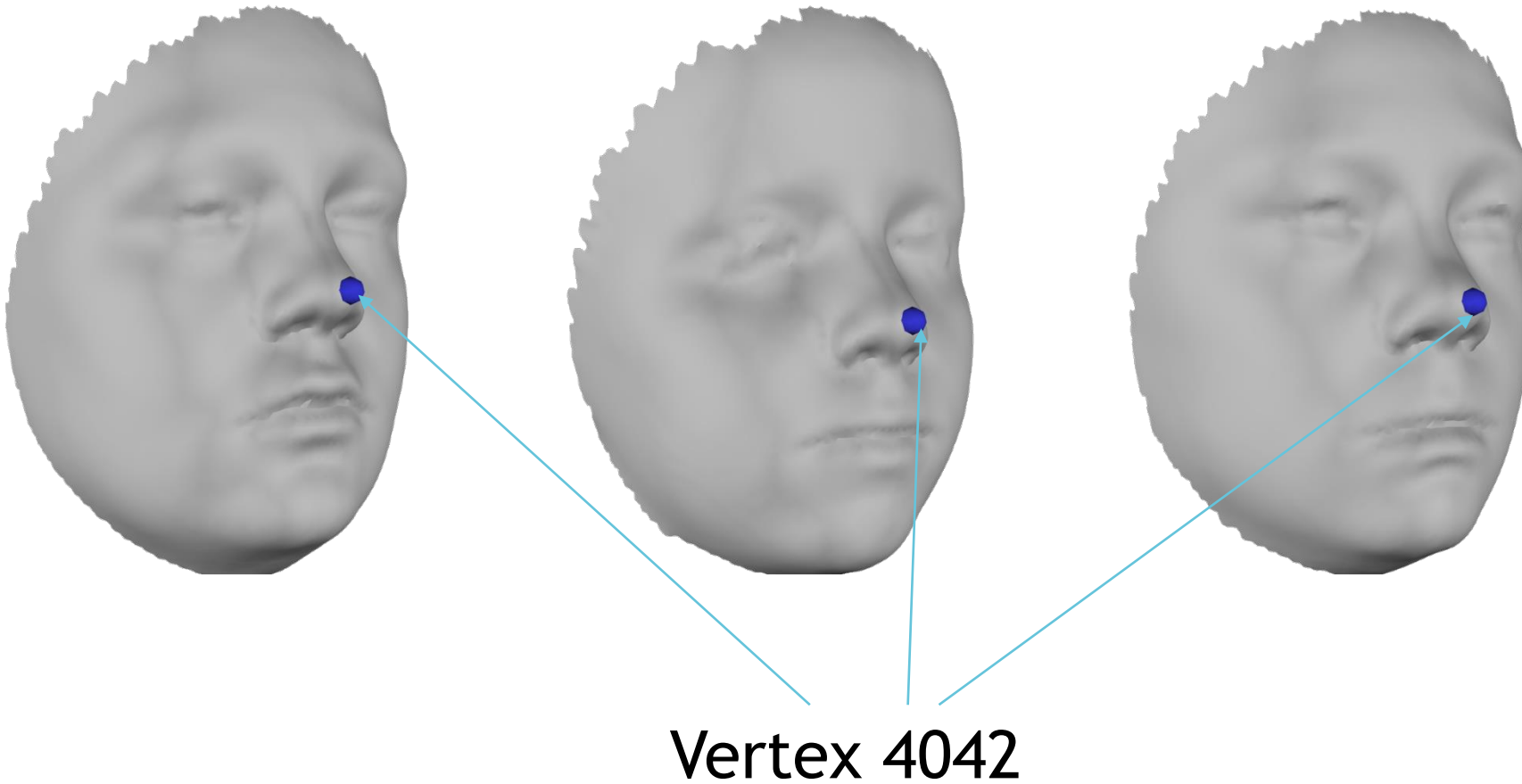


Vertex 4228



# Corresponding measurements: Spatially-dense image mapping

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# Summary

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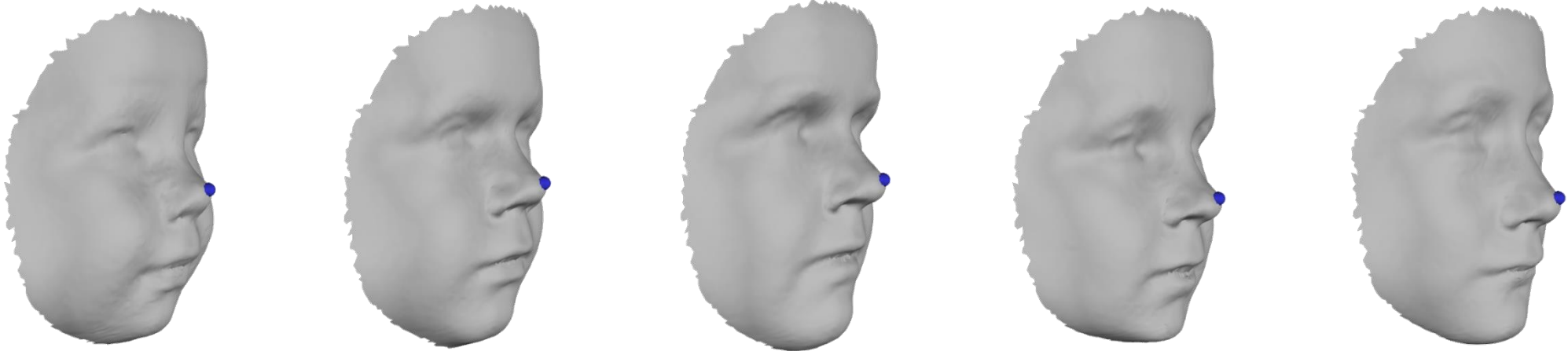
- 3D photographs represent the complete surface of the structure being imaged.
- It is possible to "measure" the entire surface by warping a standard template face/head into the shape of the face being measured.

# Describing populations for assessing individual dysmorphism and population comparison



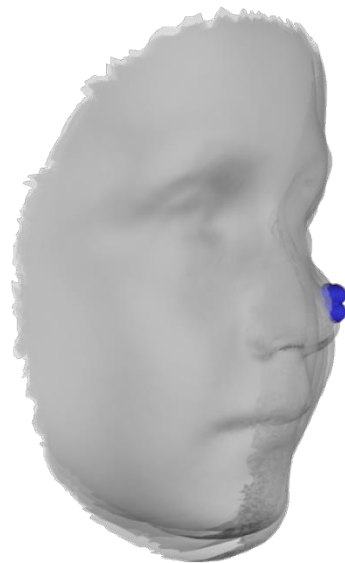
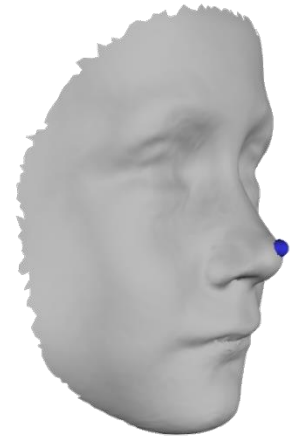
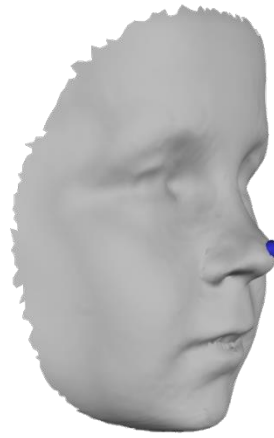
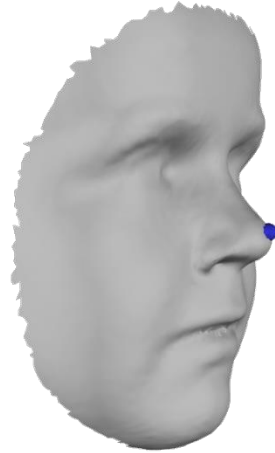
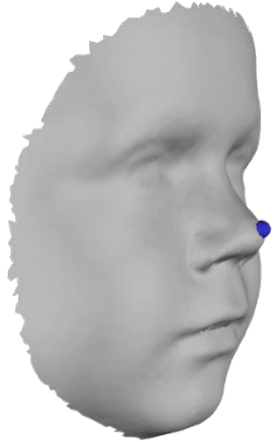
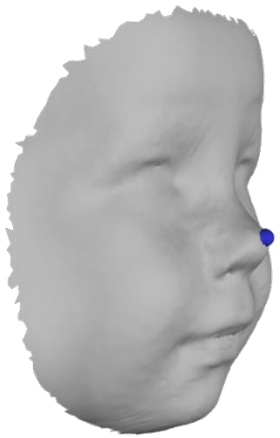
# Average face

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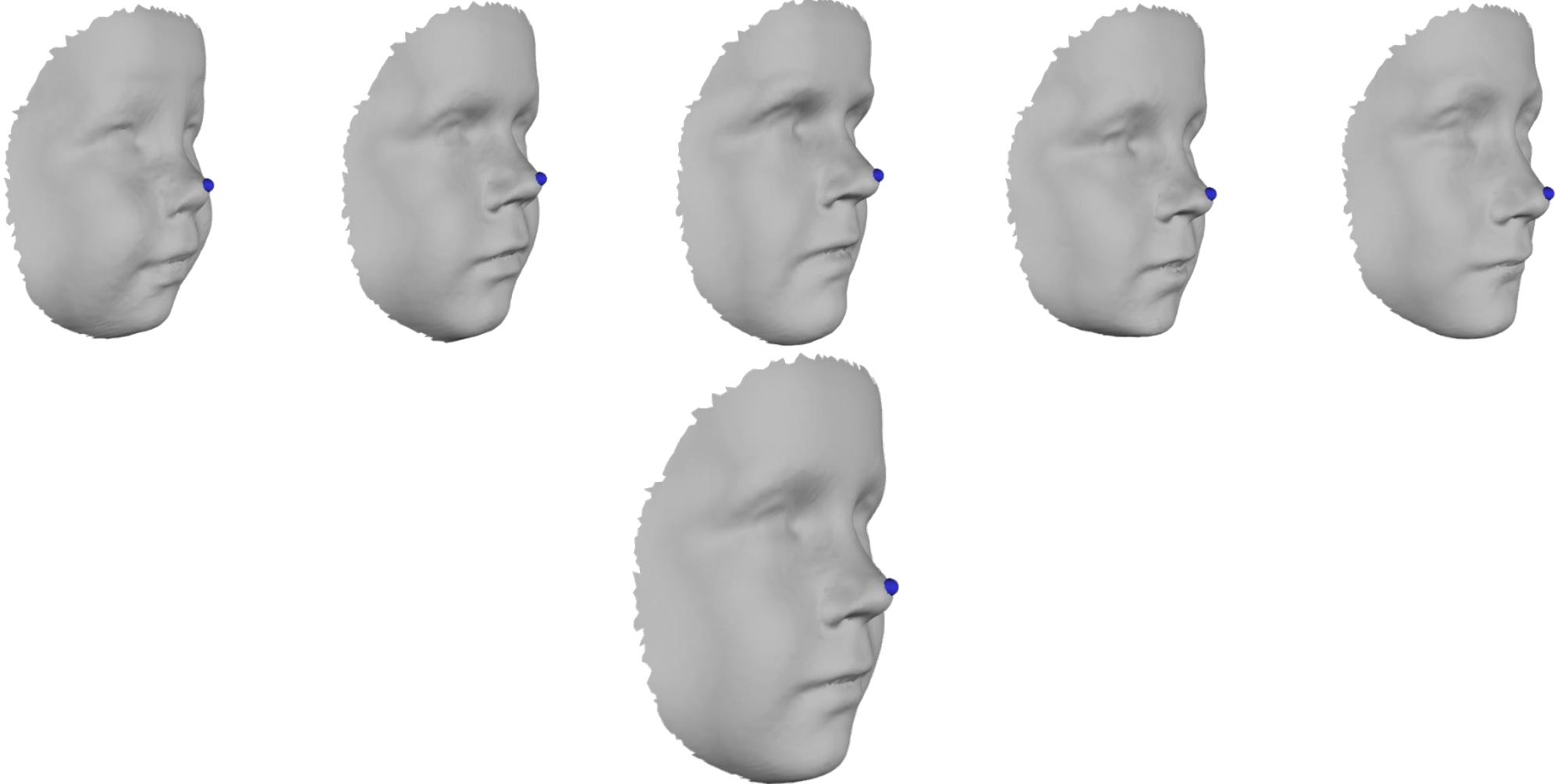
# Average face

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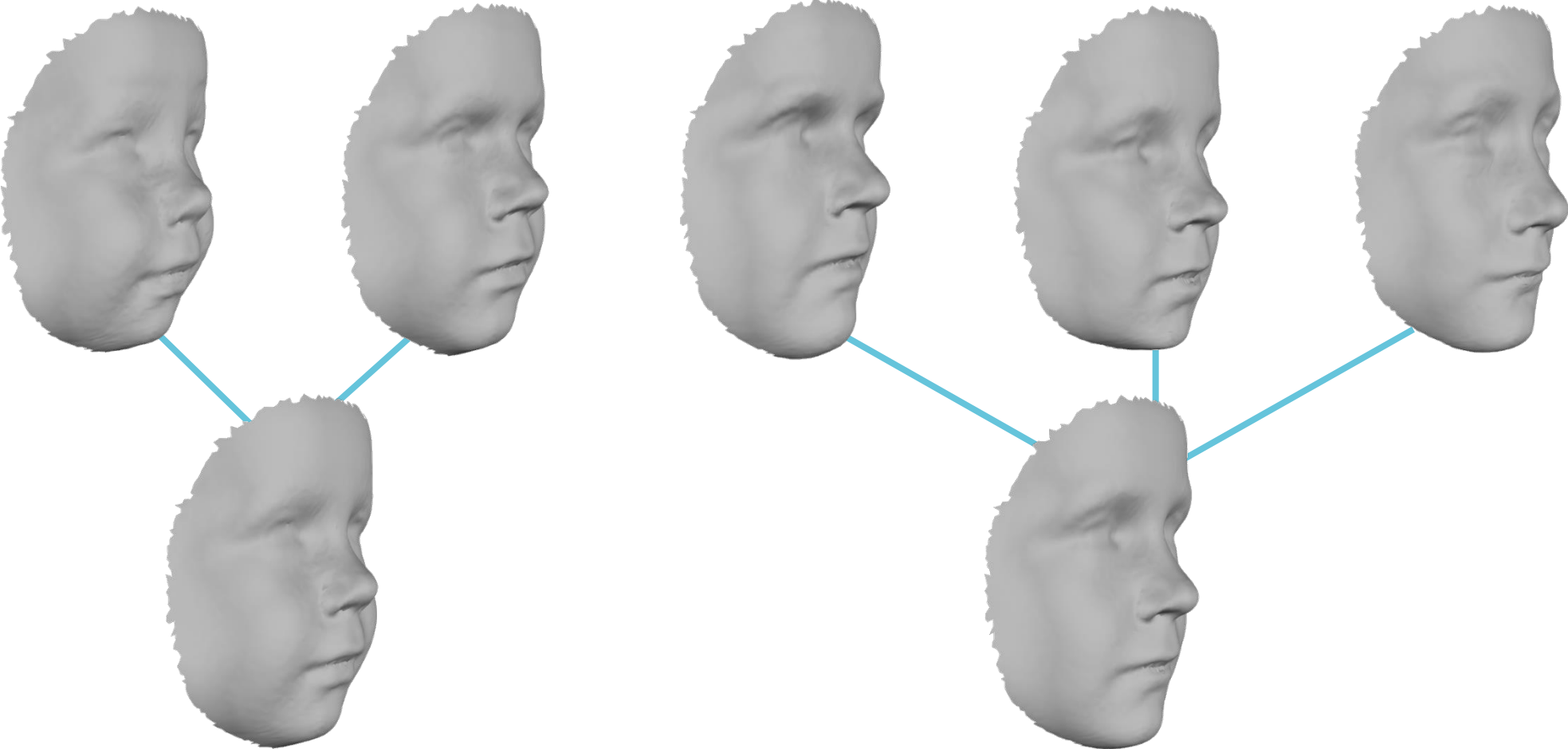
# Average face

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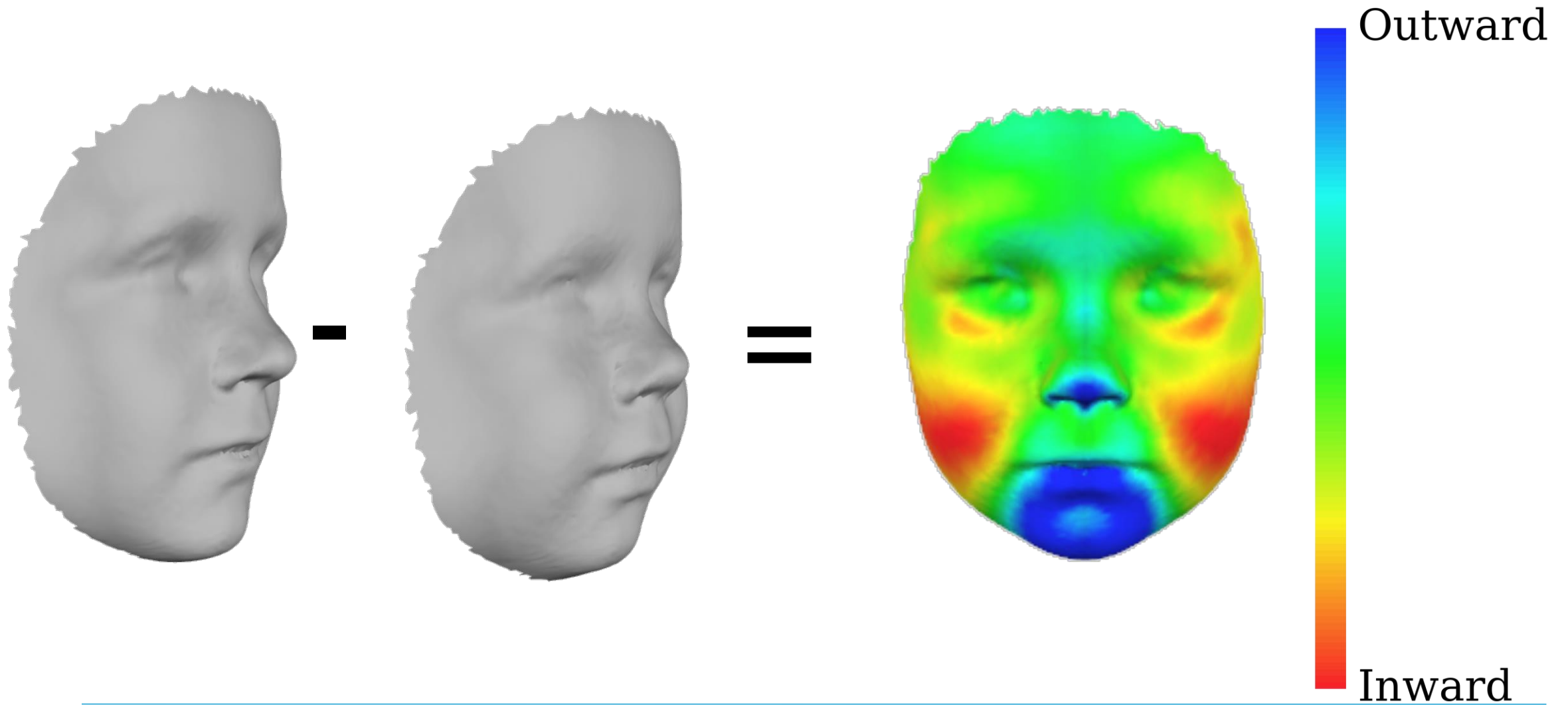
# Comparing average faces

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# Comparing average faces

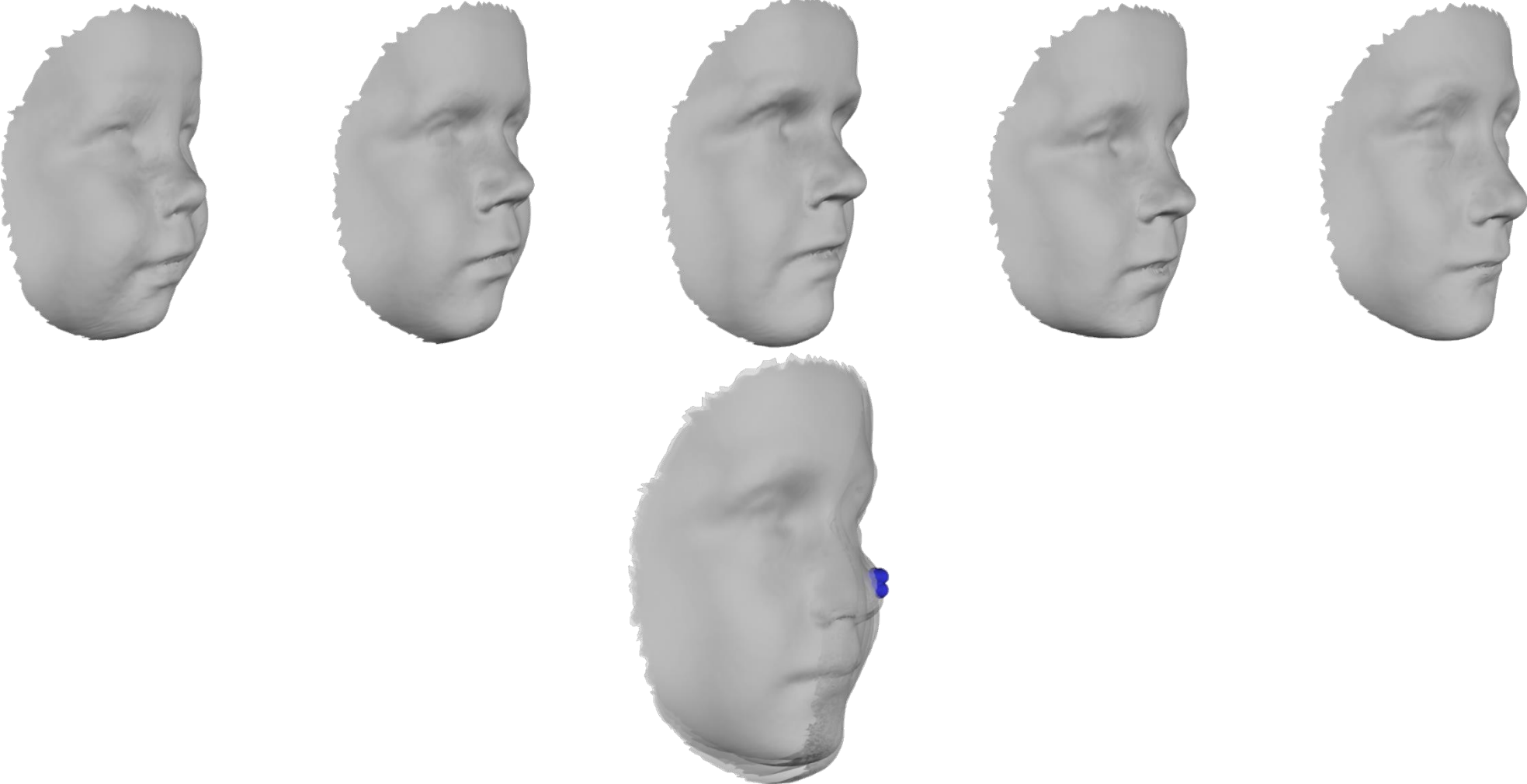
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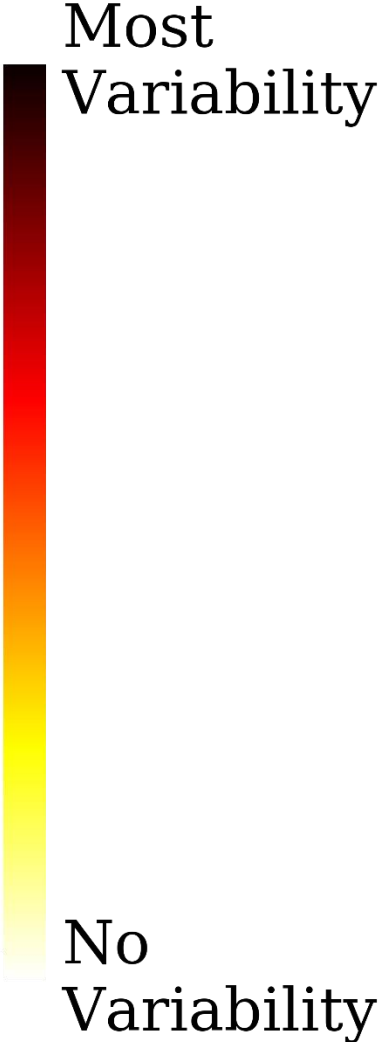
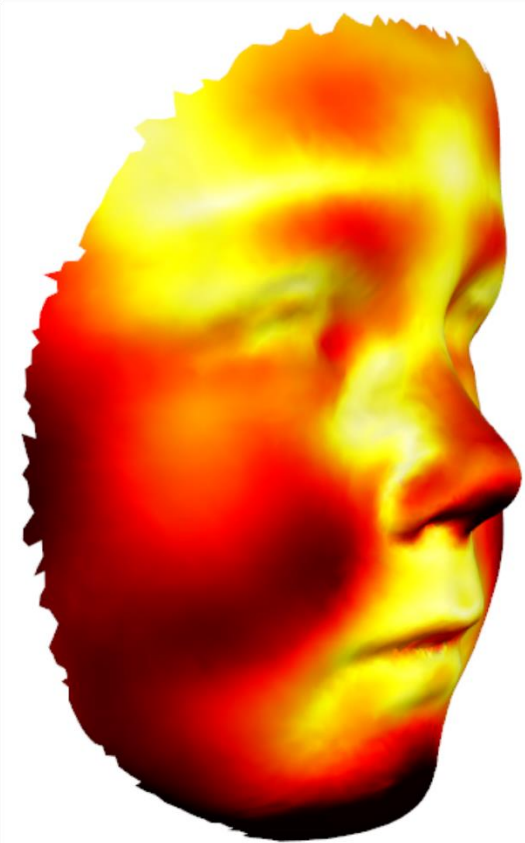
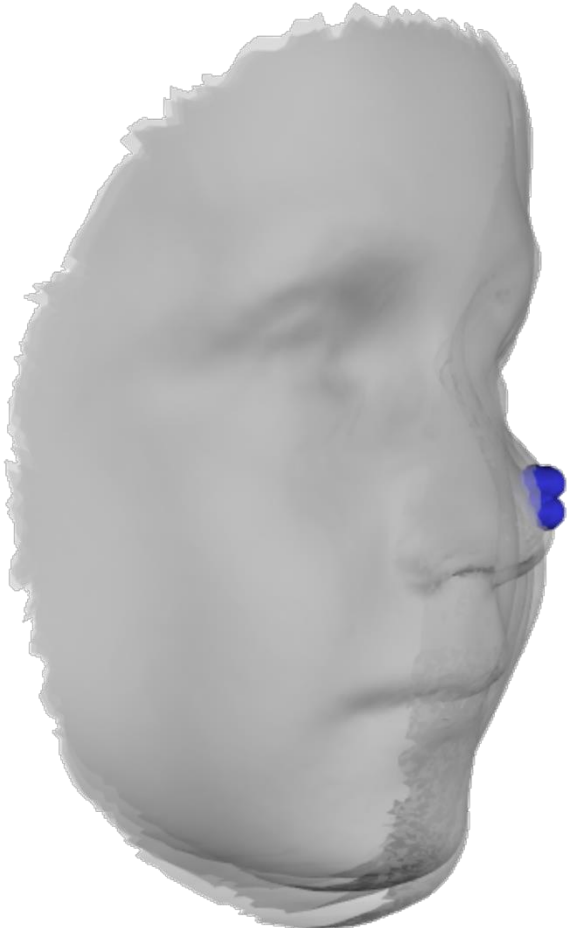


# Expected Variability: Pointwise standard deviation

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# Expected variability: Pointwise standard deviation



# Comparing a face to the population. Where/what is 'abnormal'?

Reference  
Population Average



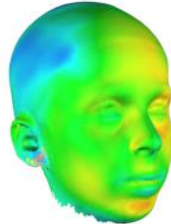
Face



Compute  
Difference

Normalise by  
population  
SDs

Z-scores at  
each point



# Comparing a face to the population. Where/what is 'abnormal'?

Reference  
Population Average



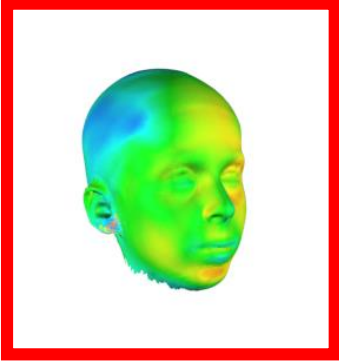
Face



Compute  
Difference

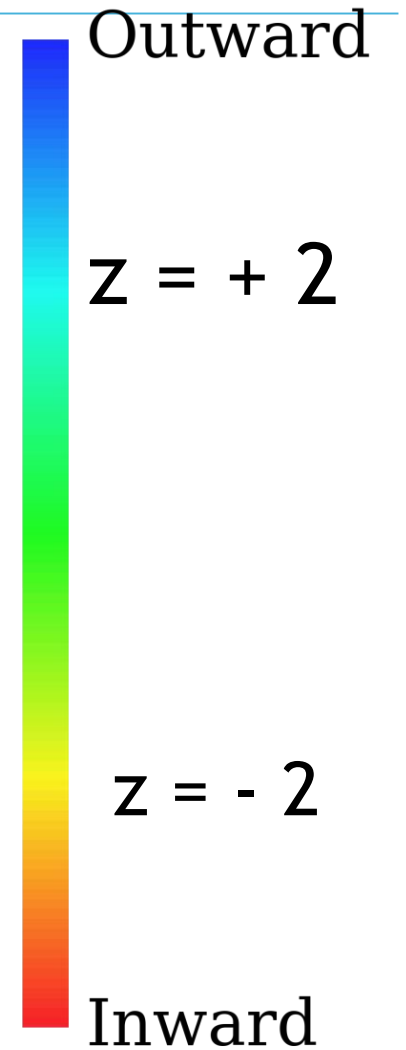
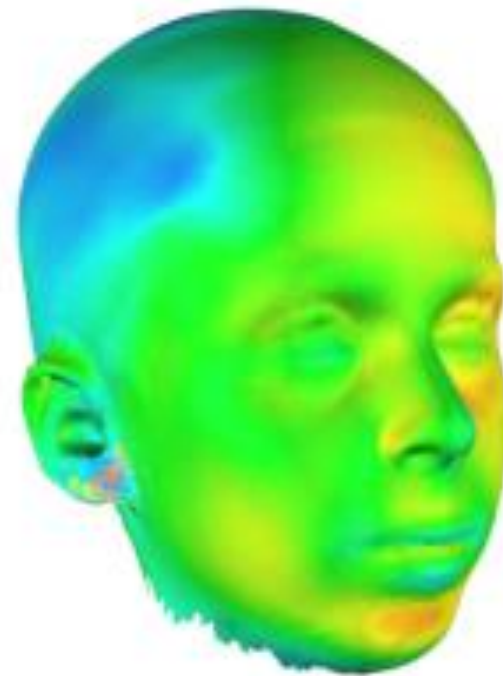
Normalise by  
population  
SDs

Z-scores at  
each point



# Facial 'Signature'

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# Summary

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- The typical shape and variation in a population can be modelled over the whole surface of the face.
- This can be used both to compare populations and assess an individual with respect to some (e.g. normal control population) population.

# Applications in FASD: Mapping the spectrum of fetal alcohol effects.



# Suttie et al. (2013)

- Three heavily exposed groups
  - FAS (n=22), PFAS (n=26) and HE (Heavy exposure without diagnosis; 75 ).
  - Controls (n=68)
- All groups had similar patterns of alcohol consumption:
  - Average of 8.9 standard drinks per occasion
  - ~2 occasions/week.

## Facial Dysmorphism Across the Fetal Alcohol Spectrum

**AUTHORS:** Michael Suttie, MSc,<sup>a</sup> Tatiana Foroud, PhD,<sup>b</sup> Leah Wetherill, MSc,<sup>b</sup> Joseph L. Jacobson, PhD,<sup>c,d,e</sup> Christopher D. Moltano, MD,<sup>e</sup> Ernesta M. Meintjes, MD,<sup>d</sup> H. Eugene Hoyme, MD,<sup>f</sup> Nathaniel Khaole, MD,<sup>d</sup> Luther K. Robinson, MD,<sup>g</sup> Edward P. Riley, PhD,<sup>h</sup> Sandra W. Jacobson, PhD,<sup>c,d,e</sup> and Peter Hammond, PhD<sup>a</sup>

<sup>a</sup>Molecular Medicine Unit, UCL Institute of Child Health, London, United Kingdom; <sup>b</sup>Department of Medical and Molecular Genetics, Indiana University School of Medicine, Indianapolis, Indiana; <sup>c</sup>Department of Psychiatry and Behavioral Neurosciences, Wayne State University School of Medicine, Detroit, Michigan; <sup>d</sup>Departments of <sup>e</sup>Human Biology and <sup>f</sup>Psychiatry and Mental Health, University of Cape Town, Faculty of Health Sciences, Cape Town, South Africa; <sup>g</sup>Sanford School of Medicine, University of South Dakota, Vermillion, South Dakota; <sup>h</sup>State University of New York, Buffalo, New York; and <sup>i</sup>Department of Psychology, College of Sciences, San Diego State University, San Diego, California



**WHAT IS KNOWN ON THIS SUBJECT:** Prenatal alcohol exposure causes a continuum of effects. The most severe phenotype, fetal alcohol syndrome, involves facial dysmorphism, growth deficits, and neurocognitive problems. The classic facial characteristics include short palpebral fissures, smooth philtrum, and thin upper vermillion.

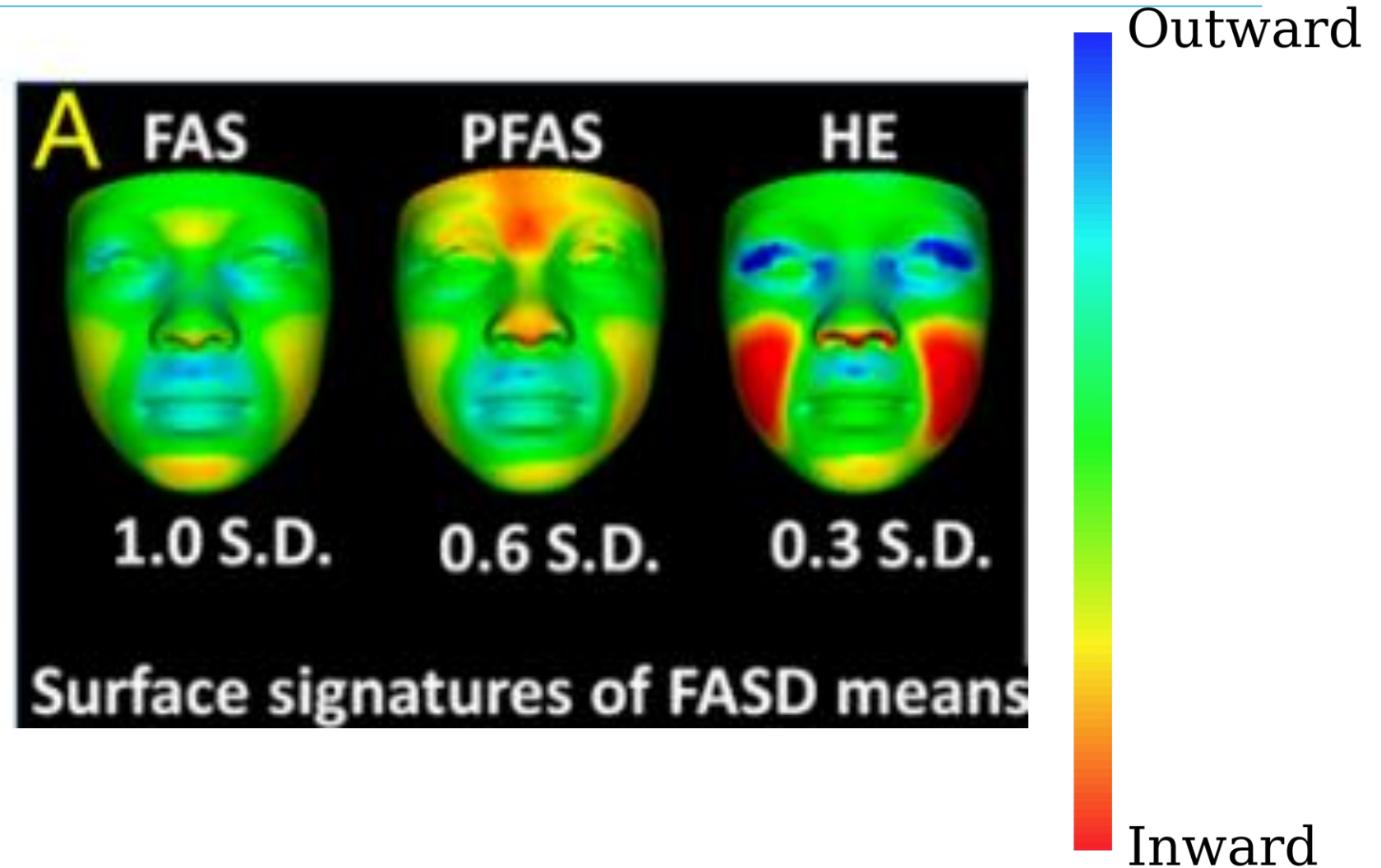


**WHAT THIS STUDY ADDS:** This study develops novel strategies to help detect facial dysmorphism across the fetal alcohol spectrum, especially in children with heavy alcohol exposure but without classic facial characteristics. The methods show potential for identifying which of these children are cognitively affected.



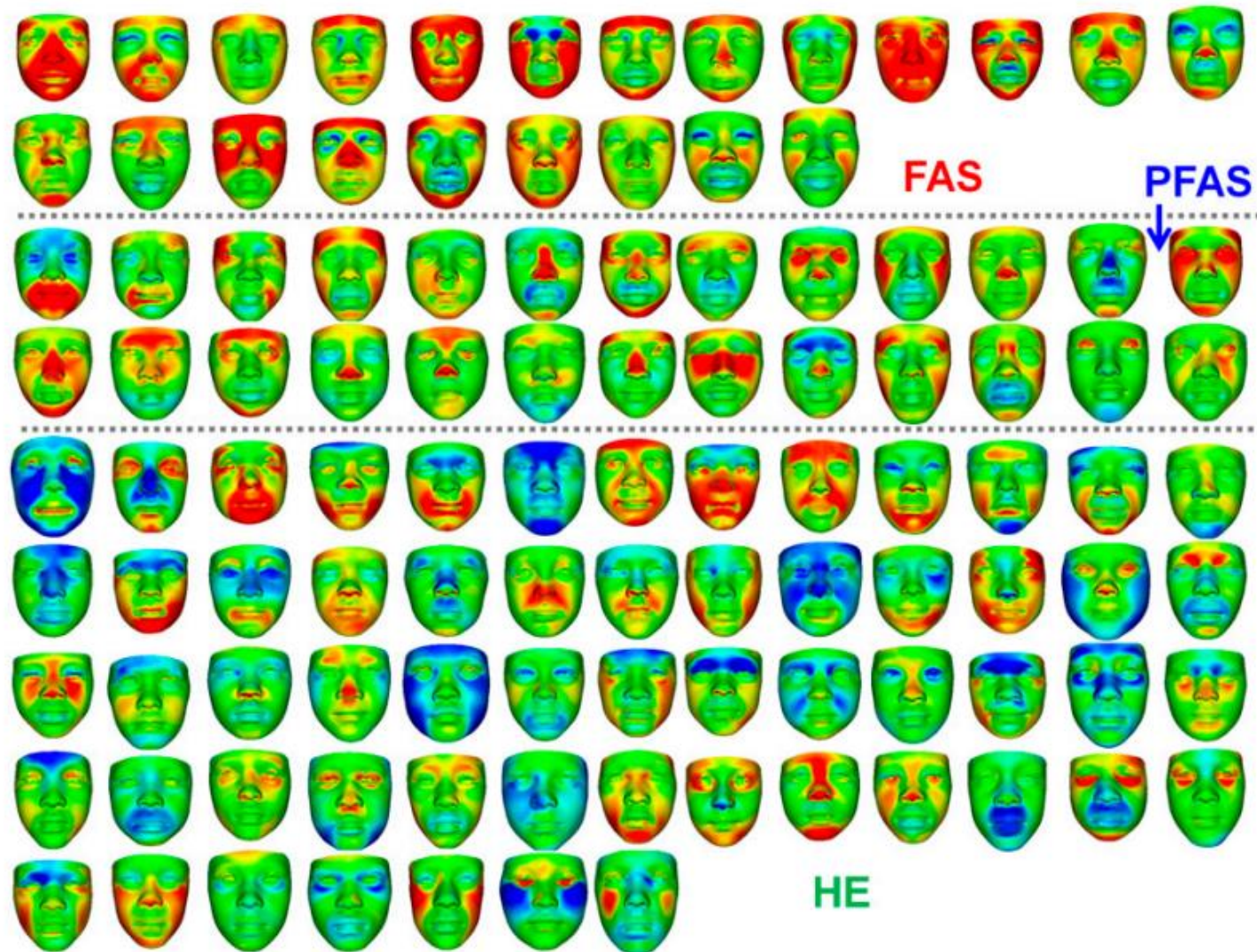
## Suttie et al. (2013)

- Hypoplastic midface.
- Shortened nose.
- Smooth philtrum.
- Different color-scales
  - FAS>PFAS>HE

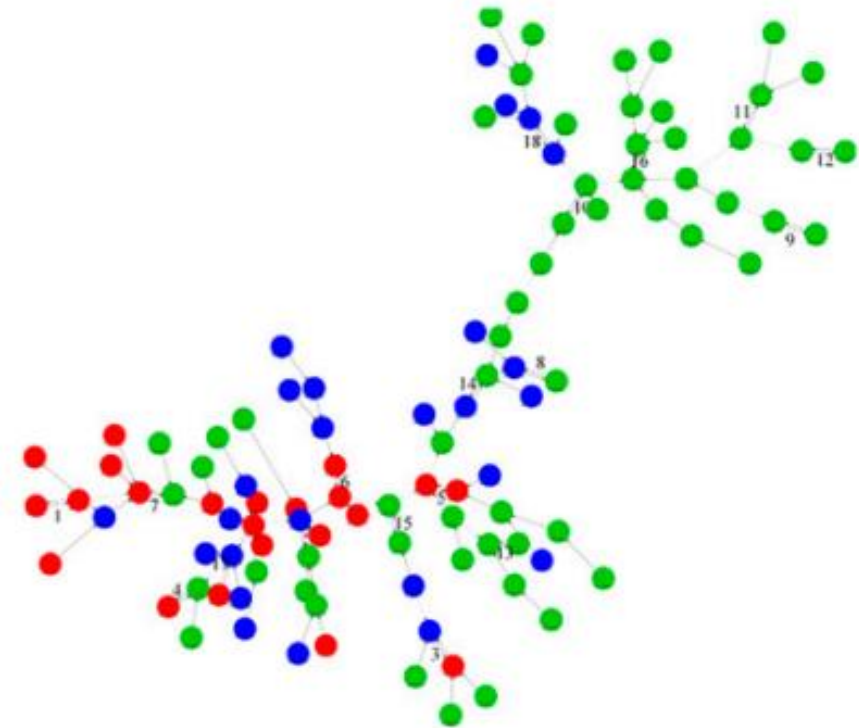
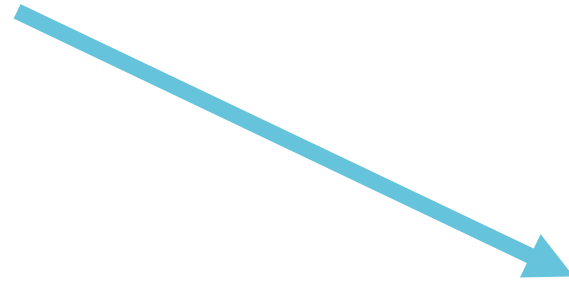


# Suttie et al. (2013)

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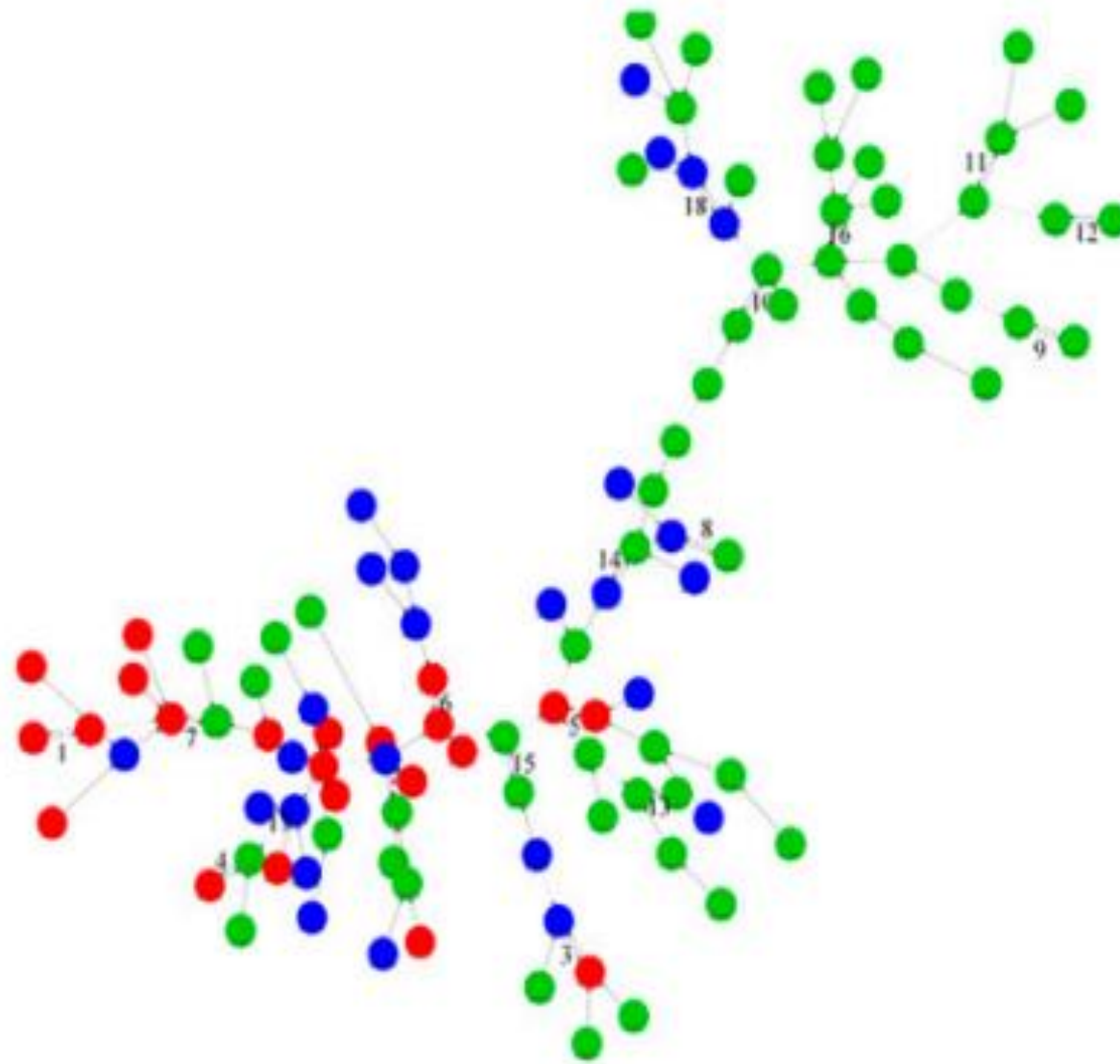


# Suttie et al. (2013)



# Suttie et al. (2013)

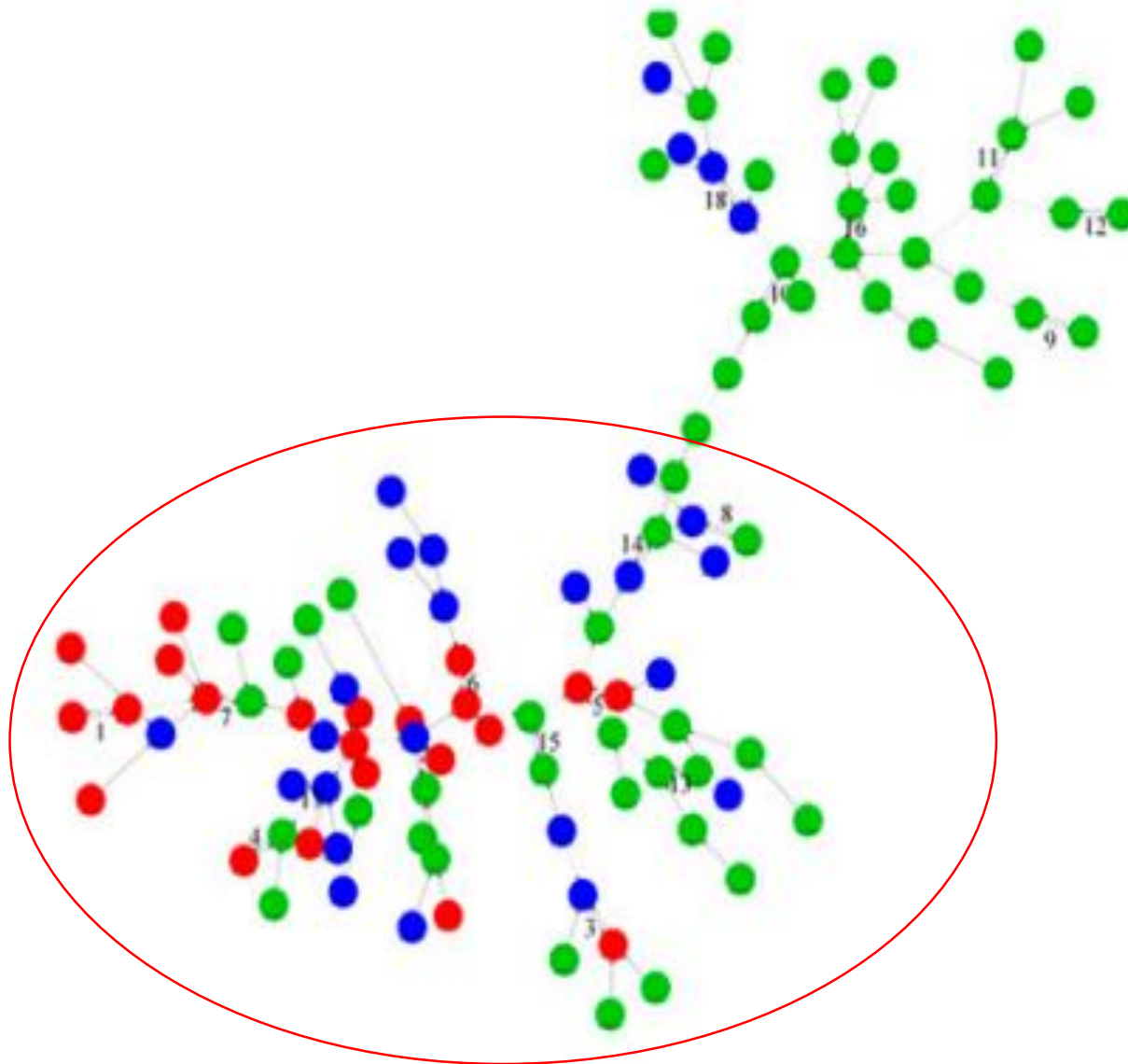
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FAS
PFAS
HE

## Suttie et al. (2013)

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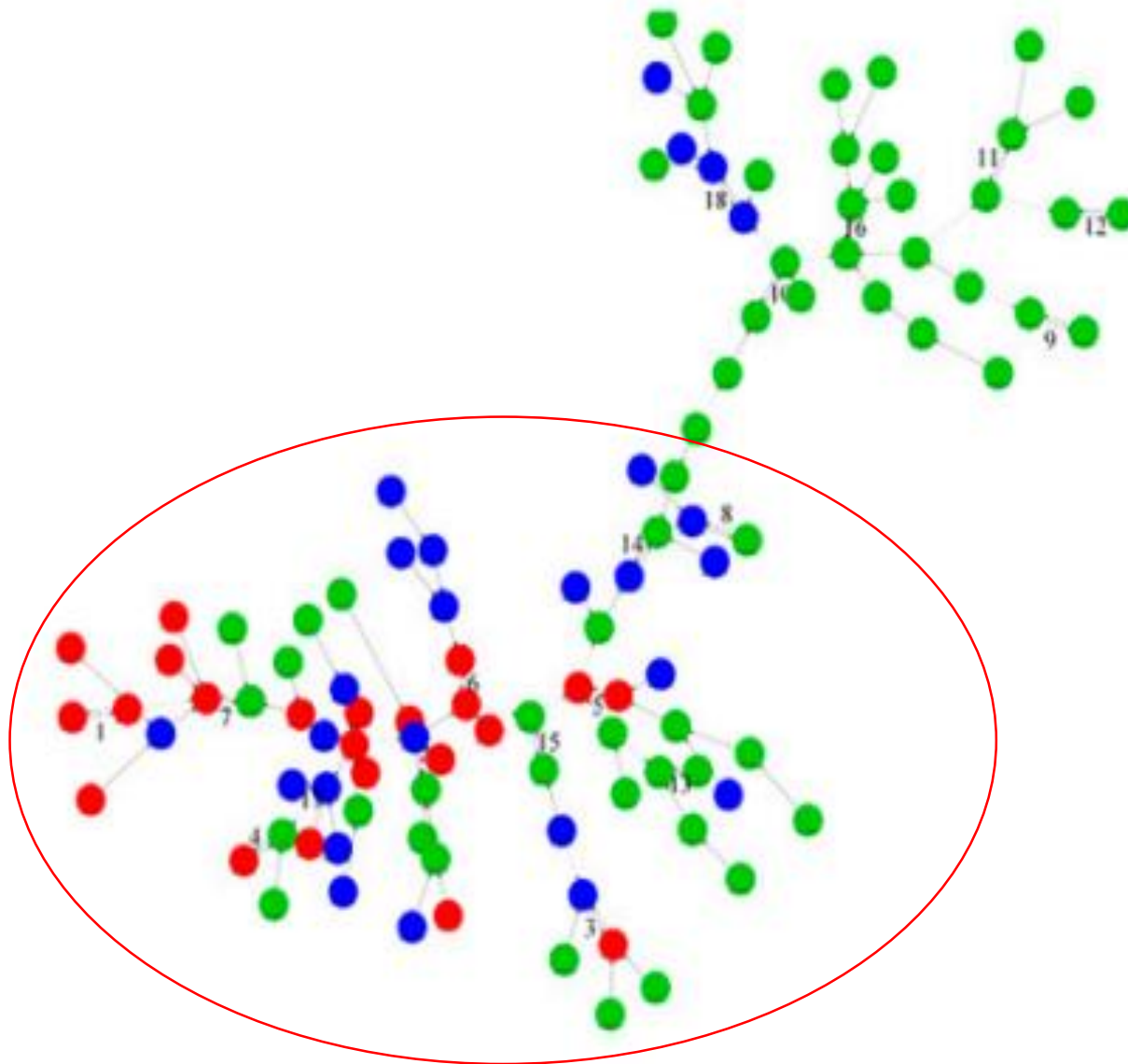


Heavily  
exposed group  
heterogeneous.

Some have  
abnormality  
similar to  
FAS/PFAS.

## Suttie et al. (2013)

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Significantly worse  
on neurocognitive  
measures:

WISC IV - Verbal  
comprehension index

California  
Verbal Learning Test-  
Children's

# Muggli et al. (2017)

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- 415 children ~1 year old.
- Recruited from low-risk public maternity clinics in Melbourne Australia.
- PLSR of point co-ordinates onto group membership.
- Compare mean faces statistically whilst adjusting for covariates:
  - Sex, Maternal Age, Maternal Smoking, Maternal pre-pregnancy BMI, Child's birth weight

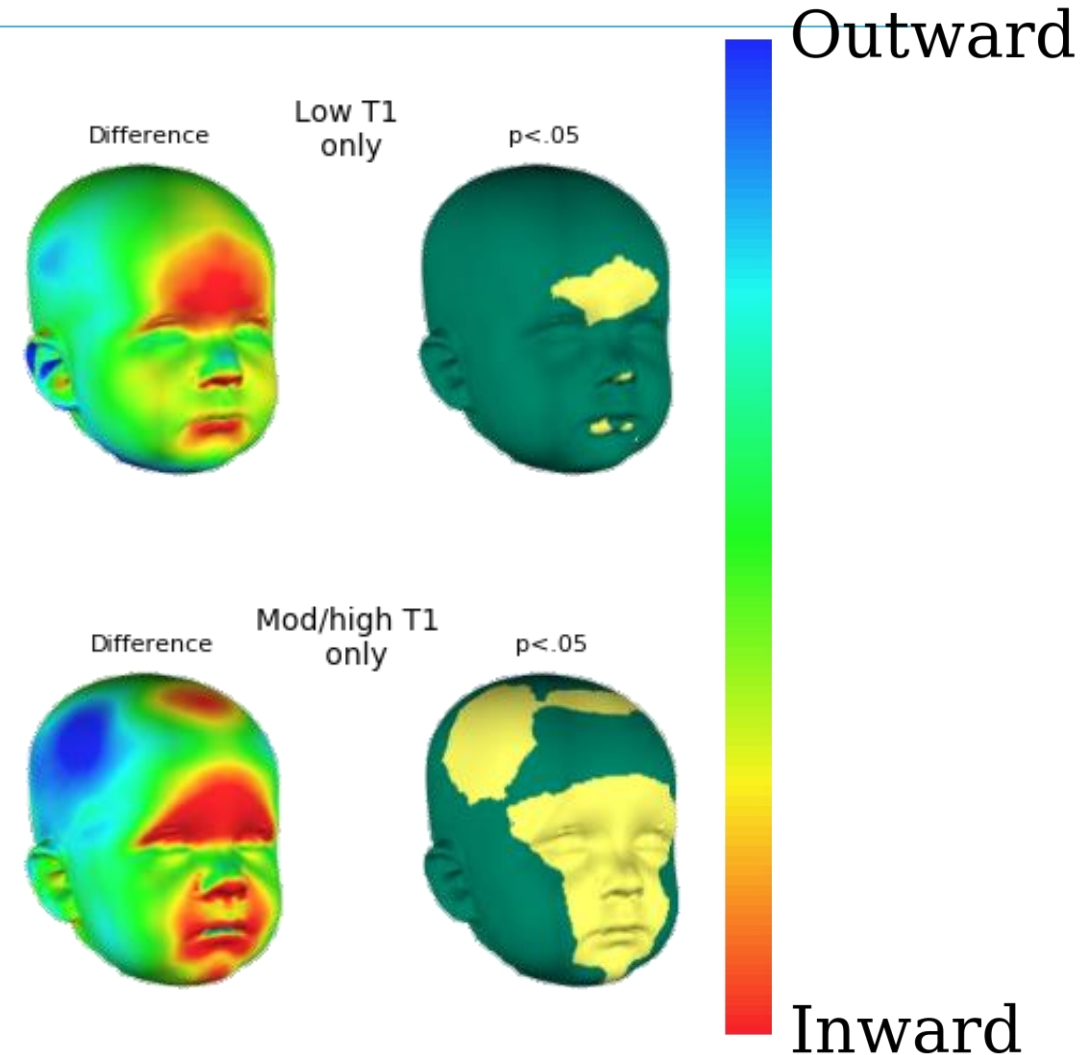
JAMA Pediatrics | Original Investigation

## Association Between Prenatal Alcohol Exposure and Craniofacial Shape of Children at 12 Months of Age

[Evelyne Muggli](#), MPH; [Harold Matthews](#), BPsych(Hons); [Anthony Penington](#), MD; [Peter Claes](#), PhD; [Colleen O'Leary](#), PhD; [Della Forster](#), PhD; [Susan Donath](#), MA; [Peter J. Anderson](#), PhD; [Sharon Lewis](#), PhD; [Cate Nagle](#), PhD; [Jeffrey M. Craig](#), PhD; [Susan M. White](#), MBBS; [Elizabeth J. Elliott](#), MD; [Jane Halliday](#), PhD

# Muggli et al. (2017)

- Low (N=49)
  - Low:  $\leq 20\text{g AA/occasion}$ ;  $\leq 70\text{g AA/week}$
- Mod/high (N=46)
  - Mod:  $21\text{-}49\text{g AA/occasion}$ ;  $\leq 70\text{g AA/week}$
  - High:  $>70\text{g AA/week}$  but without binge ( $\geq 50\text{g AA/occasion}$ )





# Summary

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- Multivariate analysis of facial images is sensitive, objective and holistic.
- Evidence for facial effects in exposed individuals who are not diagnosed.
- Effects of low to moderate drinking on the face.
- Face shape is predictive of neurocognitive performance among heavily exposed individuals without a diagnosis.

# Thank you

